ADDRESS TO:	Mail Stop New Application Commissioner for Patents						
Express Mail Labe	el No. <u>EV320119988US</u>						
Title: METHOD	AND SYSTEM FOR FIRMWARE-BASED RUNTIME EXCEPTION FILTERING						
Attorney Docket No. 42.P18117 (maximum 12 characters) First Named Inventor Vincent J. Zimmer							
Attauman Dankat I	No. 40 D40447	,					
	(Only for new nonprovisional applications under 37 CFR 1.53(b))	•					

		P.O. Box 1450 Alexandria, VA 22313-1450
		ELEMENTS pter 600 concerning utility patent application contents.
1.	<u> </u>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)
3.	<u>X</u>	Specification (Total Pages 34) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure
4.	<u>x</u>	Drawings(s) (35 USC 113) (Total Sheets 12)
5.	_X	Oath or Declaration (Total Pages 5)
		a. X Newly Executed (Original or Copy)
		b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)
		 i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
		c Unsigned.
6.		Application Data Sheet. (37 CFR 1.76)
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.	(if applicable,	Nucleotide and/or Amino Acid Sequence Submission all necessary) Computer Readable Form (CRF)
	b	Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or ii paper Statement verifying identity of above copies

11/28/00 -1-

ACCOMPANYING APPLICATION PARTS 9. X Assignment Papers (cover she t & documents(s)) 10 a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)	
X b. Power of Attorney	
11 English Translation Document (if applicable)	
12 a. Information Disclosure Statement (IDS)/PTO-1449	
b. Copies of IDS Citations	
13 Preliminary Amendment	
14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15 Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16 Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	,
17. X Other: separate sheet with title, express mail label, copy of postcard and attorney's signature	
18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:	
Continuation Divisional Continuation-in-part (CIP) Of Prior Application No.: Examiner Group Art Unit	
(which is a continuation/ divisional/ CIP of prior application no, which is a continuation/ divisional/ CIP of prior application no) (List entire chain of prior application no)	ority)
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from wh an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompany continuation or divisional application and is hereby incorporated by reference. The incorporation can or be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18B. Statement under 37 CFR 3.73(b) for continuing application: The undersigned states that	ring nly
19. Correspondence Address	
Customer Number or Bar Code Label	
or (Insert Customer No. or Attach Bar Code Label he X Correspondence Address Below	- ∍re)
NAME _ R. Alan Burnett	_
ADDRESS 12400 Wilshire Boulevard	
Seventh Floor	
CITY Los Angeles STATE California ZIP CODE 90025-1026	
Country U.S.A. TELEPHONE (206) 292-8600 FAX (206) 292-8606	
Name (PRINT/TYPE): R. Alan Burnett Registration No.: 46,149	
Signature: RMan Buross Date: Dec 30, 20.7	_

42.P18117





FEE TRANSMITTAL FOR FY 2004

Τ				(FY 2004 Begins 10/01/2003)			
‡				TOTAL AMOUNT OF PAYMENT (\$)	1,1	62 .00	
Comple				, ,			
	tion N .		ot yet as	signed			
Filing D	ate He	erewith	<i>r</i>	7!			
				. Zimmer			
Art Unit	erivame		No	t yet assigned			
Attorney	/ Docket	No	42	P18117			
/	Booker	110.		10117			
	_ Appi	icant cla	ims sma	all entity status. See 37 CFR 1.27.			
метно	DD OF F	PAYMEI	VT (che	ck all that apply)			
_ <u>x_</u>	Check	·	_ Cred	t Card Money Order	_ Oth	ner	None
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				Number : 02-2666			
	[Deposit A	Account	Name:			
X				zed to do the following with respect to th	ie ab	ove-identified [Deposit Account:
		Charge	fee(s) ir	dicated below.			
	<u>X</u>	Credit a	ny over	payments.	1:	4:	
	_ <u>X</u>	Charge	any add	itional fees during the pendency of this a r future reply that requires a petition for exten	appıı	cation.	trooted on
		incorp	orating a	n appropriate petition for extension of time ar	nd all	required fees sh	ould be charged.
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FEE CA	ALCULA	ATION					
1. <u>BAS</u>	SIC FILI	NG FEE	<u>.</u>				
Large E	ntity	Small I	Entity				
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)	Fee Description			Fee Paid
1001	770	2001	385	Utility application filing fee			<u>770</u>
1002 1003	340 530	2002 2003	170 265	Design application filing fee Plant filing fee			
1003	770	2003	265 385	Reissue filing fee			•
1005	160	2005	80	Provisional application filing fee			
	•		•	•	SUBT	FOTAL (1) \$	770
2 51/5							
2. <u>EXI</u>	HA CL	AIM FEE	SFOR	UTILITY AND REISSUE		F 4	
[Extra Claims		Fee from below	Fee Paid
				LXII a Ciainis		DEIOW	ree raiu
Total C		30		-20** = <u>10</u>	X	<u> 18</u> =	180
		Claims _	<u>5</u>	-3** = <u>2</u>	X	86	= <u>172</u>
	e Depe						0
**Or nu	ımber p			, if greater; For Reissues, see below.			
Large E		Small I					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description			
1202	(\$) 18	2202	(\$) 9	Claims in excess of 20			
1201	86	2201	43	Independent claims in excess of 3			
1203	290	2203	145	Multiple dependent claim, if not paid			
1204	86	2204	43	**Reissue independent claims over orig	jinal i	patent	
1205	18	2205	9	**Reissue claims in xcess of 20 and ov			
					SU	BTOTAL (2) \$	352
I						• • •	· · · · · · · · · · · · · · · · · · ·

FEE CALCULATION (c ntinued)

3. ADDITIONAL FEES

Large !		Small E			
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
812	2,520	1812	2,520	For filing a request for ex parte reexamination	
813	8,800	1813	8,800	Request for inter parties reexamination	
804 ⁻	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
251	110	2251	55	Extension for reply within first month	
252	420	2252	210	Extension for reply within second month	
253	950	2253	475	Extension for reply within third month	
254	1,480	2254	740	Extension for reply within fourth month	
255	2,010	2255	1,005	Extension for reply within fifth month	
401	330	2401	165	Notice of Appeal	
402	330	2402	165	Filing a brief in support of an appeal	
403	290	2403	145	Request for oral hearing	
451	1,510	1451	1,510	Petition to institute a public use proceeding	
452	110	2452	55	Petition to revive – unavoidable	
453	1,330	2453	665	Petition to revive - unintentional	
501	1,330	2501	665	Utility issue fee (or reissue)	
502	480	2502	240	Design issue fee	
503	640	2503	320	Plant issue fee	
460	130	1460	130	Petitions to the Commissioner	
807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
806	180	1806	180	Submission of Information Disclosure Stmt	
021	40	8021	40	Recording each patent assignment per	
				property (times number of properties)	40
809	770	2809	385	For filing a submission after final rejection	
				(see 37 CFR 1.129(a))	
814	110	2814	55	Statutory Disclaimer	
810	770	2810	385	For each additional invention to be examined	
004	770	0004	005	(see 37 CFR 1.129(b))	
801	770	2801	385	Request for Continued Examination (RCE)	
802	900	1802	900	Request for expedited examination of a design application	
504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
505	300	1505	300	Publication fee for republication	
803	130	1803	130	Request for voluntary publication or republication	
808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals))
454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	
ther 1	fee (specif	y)			
ther 1	fee (specif	y)	···		
				SUBTOTAL (3) \$	40
	ed by Basic		Paid		
<u>UBM</u>	ITTED BY	<u>(</u> :			
yped				n Burnett	
Signat	ture:	Pullein 1	Survey	Date: <u>νες ζο</u> ς τορ ζ	
eg. N	Number:	46,149		Telephone Number: 206-292-860	0

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450